Digital Design & Computer Arch.

Lecture 10a: Instruction Set Architectures II

Prof. Onur Mutlu

ETH Zürich
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Assignment: Required Lecture Video

- Why study computer architecture? Why is it important?
- Future Computing Platforms: Challenges & Opportunities

Required Assignment

- **Watch one of** Prof. Mutlu's lectures and analyze either (or both)
- https://www.youtube.com/watch?v=kgiZlSOcGFM (May 2017)
- https://www.youtube.com/watch?v=mskTeNnf-i0 (Feb 2021)

Optional Assignment – for 1% extra credit

- Write a 1-page summary of one of the lectures and email us
 - What are your key takeaways?
 - What did you learn?
 - What did you like or dislike?
 - Submit your summary to <u>Moodle</u> Deadline: April 5

Extra Assignment 2: Moore's Law (I)

- Paper review
- G.E. Moore. "Cramming more components onto integrated circuits," Electronics magazine, 1965

- Optional Assignment for 1% extra credit
 - Write a 1-page review
 - Upload PDF file to Moodle Deadline: April 5

 I strongly recommend that you follow my guidelines for (paper) review (see next slide)

Extra Assignment 2: Moore's Law (II)

- Guidelines on how to review papers critically
 - Guideline slides: pdf ppt
 - Video: https://www.youtube.com/watch?v=tOL6FANAJ8c
 - Example reviews on "Main Memory Scaling: Challenges and Solution Directions" (link to the paper)
 - Review 1
 - Review 2
 - Example review on "Staged memory scheduling: Achieving high performance and scalability in heterogeneous systems" (link to the paper)
 - Review 1

Agenda for Today & Next Few Lectures

- The von Neumann model
- LC-3: An example of von Neumann machine
- LC-3 and MIPS Instruction Set Architectures
- LC-3 and MIPS assembly and programming
- Introduction to microarchitecture and single-cycle microarchitecture
- Multi-cycle microarchitecture

Required Readings

This week

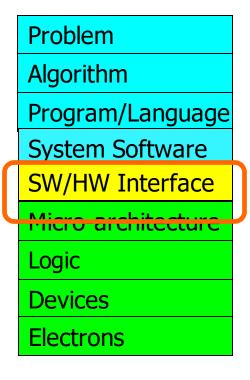
- Von Neumann Model, ISA, LC-3, and MIPS
 - P&P, Chapters 4, 5
 - H&H, Chapter 6 (until 6.5)
 - P&P, Appendices A and C (ISA and microarchitecture of LC-3)
 - H&H, Appendix B (MIPS instructions)
- Programming
 - P&P, Chapter 6
- Recommended: H&H Chapter 5, especially 5.1, 5.2, 5.4, 5.5

Next week

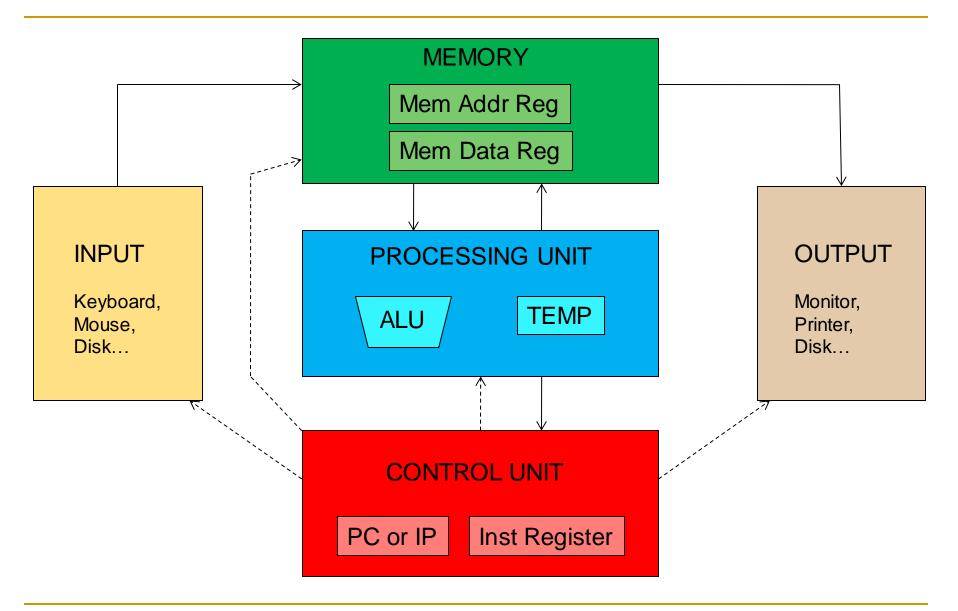
- Introduction to microarchitecture and single-cycle microarchitecture
 - H&H, Chapter 7.1-7.3
 - P&P, Appendices A and C
- Multi-cycle microarchitecture
 - H&H, Chapter 7.4
 - P&P, Appendices A and C

What Will We Learn Today?

- Basic elements of a computer & the von Neumann model
 - LC-3: An example von Neumann machine
- Instruction Set Architectures: LC-3 and MIPS
 - Operate instructions
 - Data movement instructions
 - Control instructions
- Instruction formats
- Addressing modes



Recall: The von Neumann Model



Recall: von Neumann Model: Two Key Properties

 Von Neumann model is also called stored program computer (instructions in memory). It has two key properties:

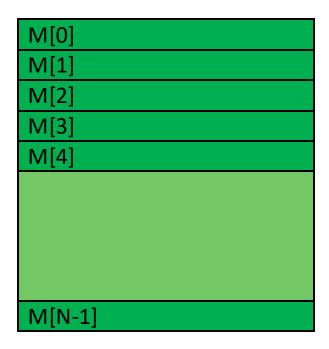
Stored program

- Instructions stored in a linear memory array
- Memory is unified between instructions and data
 - The interpretation of a stored value depends on the control signals

Sequential instruction processing

- One instruction processed (fetched, executed, completed) at a time
- Program counter (instruction pointer) identifies the current instruction
- Program counter is advanced sequentially except for control transfer instructions

Recall: Programmer Visible (Architectural) State



Memory

array of storage locations indexed by an address



Registers

- given special names in the ISA (as opposed to addresses)
- general vs. special purpose

Program Counter

memory address of the current (or next) instruction

Instructions (and programs) specify how to transform the values of programmer visible state

Recall: LC-3: A von Neumann Machine

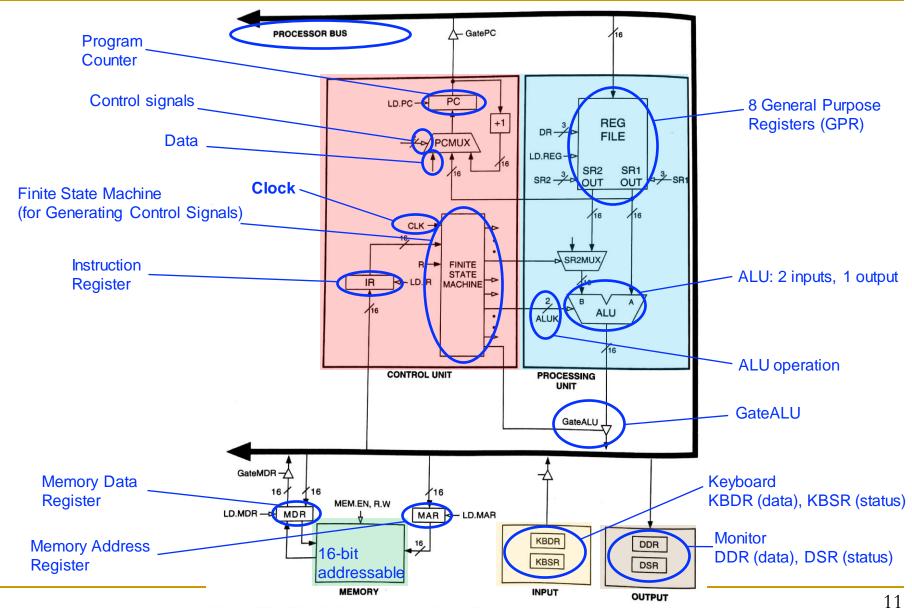
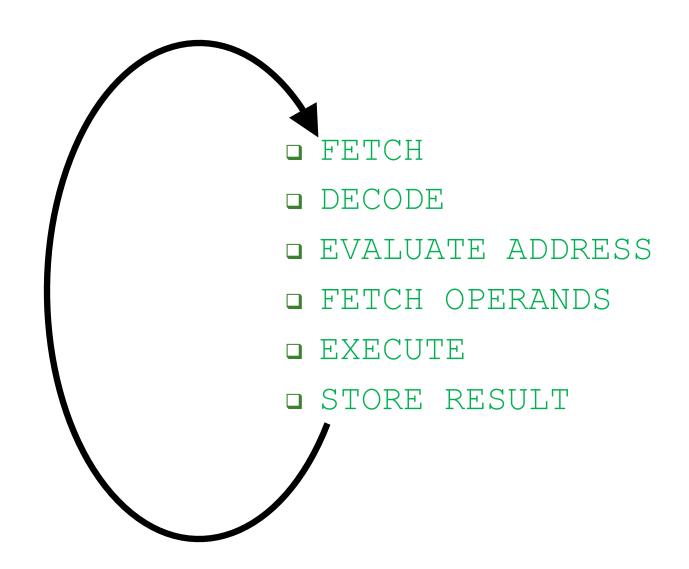


Figure 4.3 The LC-3 as an example of the von Neumann model

Recall: The Instruction (Processing) Cycle



LC-3 and MIPS Instruction Set Architectures

The Instruction Set

- It defines opcodes, data types, and addressing modes
- ADD and LDR have been our first examples

ADD					
OP	DR	SR1			SR2
1	0	1	0	00	2

Register mode

LDR			
OP	DR	BaseR	offset6
6	3	0	4

Base+offset mode

The Instruction Set Architecture

- The ISA is the interface between what the software commands and what the hardware carries out
- The ISA specifies
 - The memory organization
 - Address space (LC-3: 2¹⁶, MIPS: 2³²)
 - Addressability (LC-3: 16 bits, MIPS: 32 bits)
 - Word- or Byte-addressable
 - The register set
 - R0 to R7 in LC-3
 - 32 registers in MIPS
 - The instruction set
 - Opcodes
 - Data types
 - Addressing modes

Problem
Algorithm
Program
ISA
Microarchitecture
Circuits
Electrons

Opcodes

- Large or small sets of opcodes could be defined
 - □ E.g, HP Precision Architecture: an instruction for A*B+C
 - □ E.g, x86 ISA: multimedia extensions (MMX), later SSE and AVX
 - E.g, VAX ISA: opcode to save all information of one program prior to switching to another program
- Tradeoffs are involved
 - Hardware complexity vs. software complexity
- In LC-3 and in MIPS there are three types of opcodes
 - Operate
 - Data movement
 - Control

Opcodes in LC-3

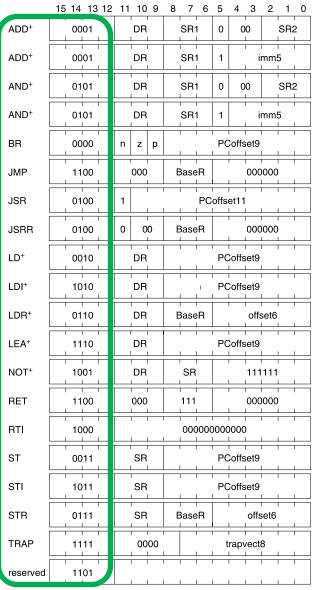
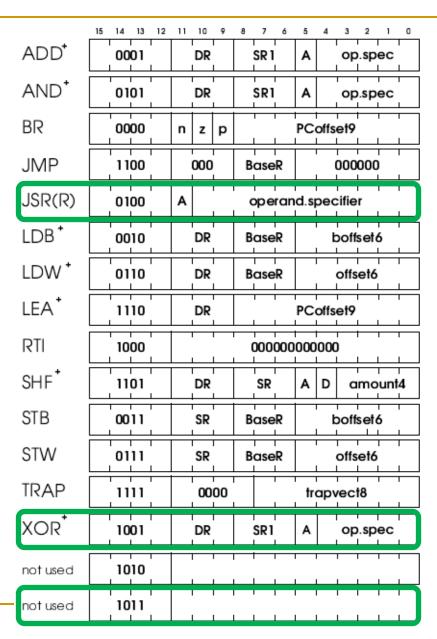


Figure 5.3 Formats of the entire LC-3 instruction set. NOTE: $^+$ indicates instructions that modify condition codes

Opcodes in LC-3b



MIPS Instruction Types

0	rs	rt	rd	shamt	funct	F	<pre><-type</pre>
6-bit	5-bit	5-bit	5-bit	5-bit	6-bit		
			:		1	ı	typo
opcode	rs	rt	immedi	ate		Į-	-type
6-bit	5-bit	5-bit	16-bit				
opcode	immedi	ato				1.	-type
	Immedi	ate				J	Lypc
6-hit	26-hit						

Funct in MIPS R-Type Instructions (I)

Opcode is 0
in MIPS RType
instructions.
Funct defines
the operation

Table B.2 R-type instructions, sorted by funct field

Funct	Name	Description	Operation
000000 (0)	sll rd, rt, shamt	shift left logical	[rd] = [rt] << shamt
000010 (2)	srl rd, rt, shamt	shift right logical	[rd] = [rt] >> shamt
000011 (3)	sra rd, rt, shamt	shift right arithmetic	[rd] = [rt] >>> shamt
000100 (4)	sllv rd, rt, rs	shift left logical variable	[rd] = [rt] << [rs] _{4:0}
000110 (6)	srlv rd, rt, rs	shift right logical variable	[rd] = [rt] >> [rs] _{4:0}
000111 (7)	srav rd, rt, rs	shift right arithmetic variable	[rd] = [rt] >>> [rs] _{4:0}
001000 (8)	jr rs	jump register	PC = [rs]
001001 (9)	jalr rs	jump and link register	<pre>\$ra = PC + 4, PC = [rs]</pre>
001100 (12)	syscall	system call	system call exception
001101 (13)	break	break	break exception
010000 (16)	mfhi rd	move from hi	[rd] = [hi]
010001 (17)	mthi rs	move to hi	[hi] = [rs]
010010 (18)	mflo rd	move from lo	[rd] = [lo]
010011 (19)	mtlo rs	move to lo	[]o] = [rs]
011000 (24)	mult rs, rt	multiply	{[hi], []o]} = [rs] × [rt]
011001 (25)	multurs,rt	multiply unsigned	$\{[hi], [lo]\} = [rs] \times [rt]$
011010 (26)	div rs, rt	divide	[lo] = [rs]/[rt], [hi] = [rs]%[rt]
011011 (27)	divu rs, rt	divide unsigned	[lo] = [rs]/[rt], [hi] = [rs]%[rt]

(continued)

Funct in MIPS R-Type Instructions (II)

Table B.2 R-type instructions, sorted by funct field—Cont'd

Funct	Name	Description	Operation
100000 (32)	add rd, rs, rt	add	[rd] = [rs] + [rt]
100001 (33)	addu rd, rs, rt	add unsigned	[rd] = [rs] + [rt]
100010 (34)	sub rd, rs, rt	subtract	[rd] = [rs] - [rt]
100011 (35)	subu rd, rs, rt	subtract unsigned	[rd] = [rs] - [rt]
100100 (36)	and rd, rs, rt	and	[rd] = [rs] & [rt]
100101 (37)	or rd, rs, rt	or	[rd] = [rs] [rt]
100110 (38)	xor rd, rs, rt	xor	[rd] = [rs] ^ [rt]
100111 (39)	nor rd, rs, rt	nor	[rd] = ~([rs] [rt])
101010 (42)	slt rd, rs, rt	set less than	[rs] < [rt] ? [rd] = 1 : [rd] = 0
101011 (43)	sltu rd, rs, rt	set less than unsigned	[rs] < [rt] ? [rd] = 1 : [rd] = 0

Find the complete list of instructions in the H&H Appendix B

Data Types

- An ISA supports one or several data types
- LC-3 only supports 2's complement integers
 - Negative of a 2's complement binary value X = NOT(X) + 1
- MIPS supports
 - 2's complement integers
 - Unsigned integers
 - Floating point
- Again, tradeoffs are involved
 - What data types should be supported and what should not be?

Data Type Tradeoffs

- What is the benefit of having more or high-level data types in the ISA?
- What is the disadvantage?
- Think compiler/programmer vs. microarchitect
- Concept of semantic gap
 - □ Data types coupled tightly to the semantic level, or complexity of instructions → how close are instrs. to high-level languages
- Example: Early RISC architectures vs. Intel 432
 - Early RISC machines: Only integer data type
 - Intel 432: Object data type, capability based machine
 - VAX: Complex types, e.g., doubly-linked list

Addressing Modes

- An addressing mode is a mechanism for specifying where an operand is located
- There are five addressing modes in LC-3
 - Immediate or literal (constant)
 - The operand is in some bits of the instruction
 - Register
 - The operand is in one of R0 to R7 registers
 - Three memory addressing modes
 - PC-relative
 - Indirect
 - Base+offset
- MIPS has pseudo-direct addressing (for j and jal), additionally, but does not have indirect addressing

Why Have Different Addressing Modes?

- Another example of programmer vs. microarchitect tradeoff
- Advantage of more addressing modes:
 - Enables better mapping of high-level programming constructs to hardware: some accesses are better expressed with a different mode → reduced number of instructions and code size
 - Array indexing
 - Pointer-based accesses (indirection)
 - Sparse matrix accesses
- Disadvantages:
 - More work for the compiler
 - More work for the microarchitect

ISA-level Tradeoffs: Addressing Modes

- Addressing mode specifies how to obtain an operand of an instruction
 - Register
 - Immediate
 - Memory (displacement, register indirect, indexed, absolute, memory indirect, autoincrement, autodecrement, ...)

More modes:

- + help better support programming constructs (arrays, pointerbased accesses)
- -- make it harder for the architect to design
- -- too many choices for the compiler?
 - Many ways to do the same thing complicates compiler design
 - Wulf, "Compilers and Computer Architecture," IEEE Computer 1981

Many Tradeoffs in ISA Design

- Execution model sequencing model and processing style
- Instruction length
- Instruction format
- Instruction types
- Instruction complexity vs. simplicity
- Data types
- Number of registers
- Addressing mode types and count
- Memory organization (address space, addressability, endianness, ...)
- Memory access restrictions and permissions
- Support for multiple instructions to execute in parallel?
- **...**

Operate Instructions

Operate Instructions

- In LC-3, there are three operate instructions
 - NOT is a unary operation (one source operand)
 - It executes bitwise NOT
 - ADD and AND are binary operations (two source operands)
 - ADD is 2's complement addition
 - AND is bitwise SR1 & SR2
- In MIPS, there are many more
 - Most of R-type instructions (they are binary operations)
 - E.g., add, and, nor, xor...
 - I-type versions (i.e., with one immediate operand) of the Rtype operate instructions
 - F-type operations, i.e., floating-point operations

NOT in LC-3

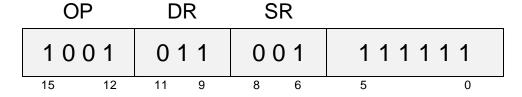
NOT assembly and machine code
 LC-3 assembly

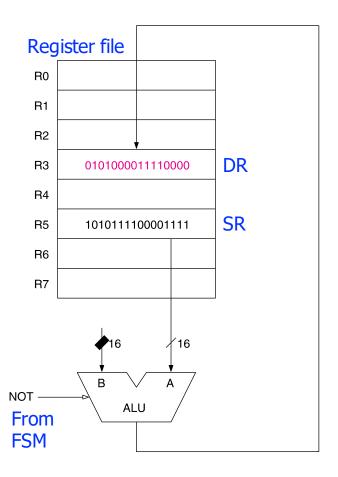
NOT R3, R5

Field Values

OP	DR	SR	
9	3	5	111111

Machine Code





There is no NOT in MIPS. How is it implemented?

Operate Instructions

- We are already familiar with LC-3's ADD and AND with register mode (R-type in MIPS)
- Now let us see the versions with one literal (i.e., immediate) operand
- Subtraction is another necessary operation
 - How is it implemented in LC-3 and MIPS?

Operate Instr. with one Literal in LC-3

ADD and AND

OP	DR	SR1	1	imm5
4 bits	3 bits	3 bits		5 bits

- OP = operation
 - E.g., ADD = 0001 (same OP as the register-mode ADD)
 □ DR ← SR1 + sign-extend(imm5)
 - E.g., AND = 0101 (same OP as the register-mode AND)
 □ DR ← SR1 AND sign-extend(imm5)
- □ SR1 = source register
- DR = destination register
- imm5 = Literal or immediate (sign-extend to 16 bits)

ADD with one Literal in LC-3

ADD assembly and machine code

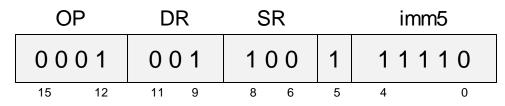
LC-3 assembly

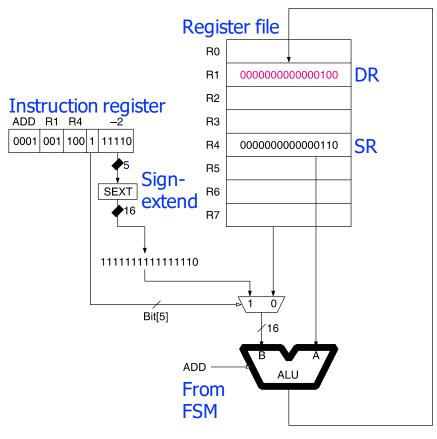
ADD R1, R4, #-2

Field Values

(OP	DR	SR		imm5
	1	1	4	1	-2

Machine Code





Instructions with one Literal in MIPS

- I-type MIPS Instructions
 - 2 register operands and immediate
- Some operate and data movement instructions

opcode	rs	rt	imm
6 bits	5 bits	5 bits	16 bits

- opcode = operation
- □ rs = source register
- □ rt =
 - destination register in some instructions (e.g., addi, lw)
 - source register in others (e.g., sw)
- imm = Literal or immediate

Add with one Literal in MIPS

Add immediate

MIPS assembly

Field Values

op	rs	rt	imm
0	17	16	5

rt ← rs + sign-extend(imm)

Machine Code

op	rs	rt	imm
001000	10001	10010	0000 0000 0000 0101

0x22300005

Subtract in LC-3

MIPS assembly

High-level code

$$a = b + c - d;$$

MIPS assembly

LC-3 assembly

High-level code

$$a = b + c - d;$$

Tradeoff in LC-3

- More instructions
- But, simpler control logic

LC-3 assembly

Subtract Immediate

MIPS assembly

High-level code

$$a = b - 3;$$



Is subi necessary in MIPS?

MIPS assembly

addi \$s1, \$s0, -3

LC-3

High-level code

$$a = b - 3;$$

LC-3 assembly

ADD R1, R0, #-3

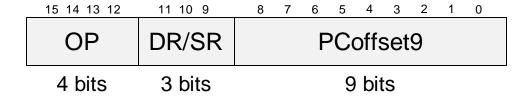
Data Movement Instructions and Addressing Modes

Data Movement Instructions

- In LC-3, there are seven data movement instructions
 - □ LD, LDR, LDI, LEA, ST, STR, STI
- Format of load and store instructions
 - Opcode (bits [15:12])
 - DR or SR (bits [11:9])
 - Address generation bits (bits [8:0])
 - Four ways to interpret bits, called addressing modes
 - PC-Relative Mode
 - Indirect Mode
 - Base+Offset Mode
 - Immediate Mode
- In MIPS, there are only Base+offset and immediate modes for load and store instructions

PC-Relative Addressing Mode

LD (Load) and ST (Store)



- \Box OP = opcode
 - E.g., LD = 0010
 - E.g., ST = 0011
- DR = destination register in LD
- SR = source register in ST
- □ LD: DR ← Memory[PC[†] + sign-extend(PCoffset9)]
- ST: Memory[PC[†] + sign-extend(PCoffset9)] ← SR

LD in LC-3

LD assembly and machine code

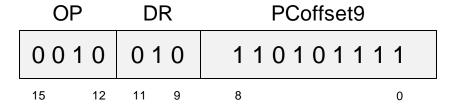
LC-3 assembly

LD R2, 0x1AF

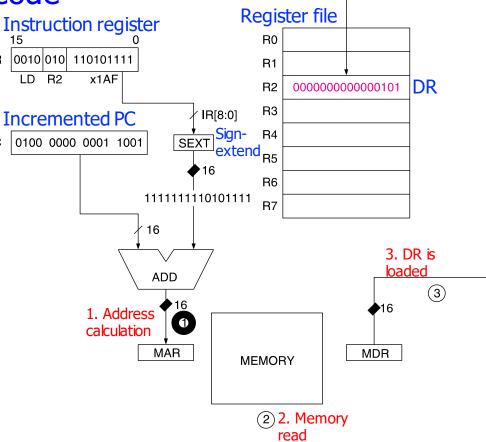
Field Values

OP	DR	PCoffset9
2	2	0x1AF

Machine Code



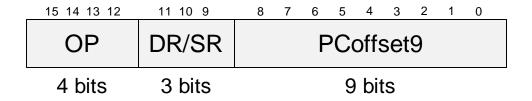
The memory address is only +255 to -256 locations away of the LD or ST instruction



Limitation: The PC-relative addressing mode cannot address far away from the instruction

Indirect Addressing Mode

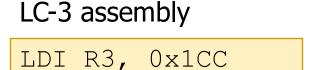
LDI (Load Indirect) and STI (Store Indirect)



- \Box OP = opcode
 - E.g., LDI = 1010
 - E.g., STI = 1011
- DR = destination register in LDI
- SR = source register in STI
- □ LDI: DR ← Memory[Memory[PC[†] + sign-extend(PCoffset9)]]
- STI: Memory[Memory[PC[†] + sign-extend(PCoffset9)]] ← SR

LDI in LC-3

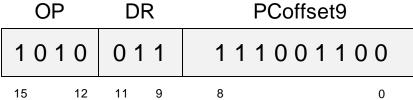
LDI assembly and machine code

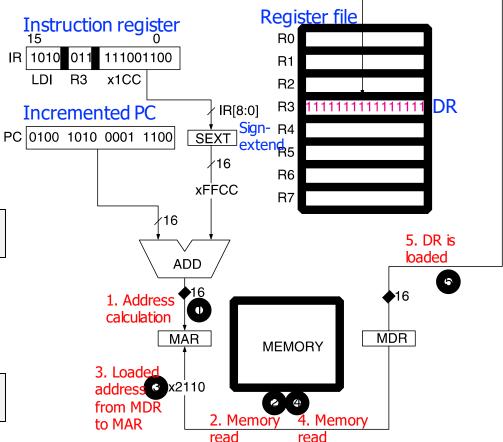


Field Values



Machine Code

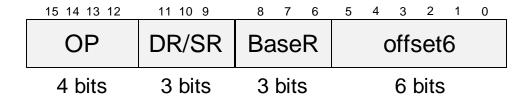




Now the address of the operand can be anywhere in the memory

Base+Offset Addressing Mode

LDR (Load Register) and STR (Store Register)



- \Box OP = opcode
 - E.g., LDR = 0110
 - E.g., STR = 0111
- DR = destination register in LDR
- SR = source register in STR
- □ LDR: DR ← Memory[BaseR + sign-extend(offset6)]
- □ STR: Memory[BaseR + sign-extend(offset6)] ← SR

LDR in LC-3

LDR assembly and machine code

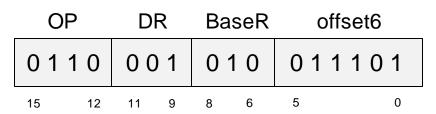
LC-3 assembly

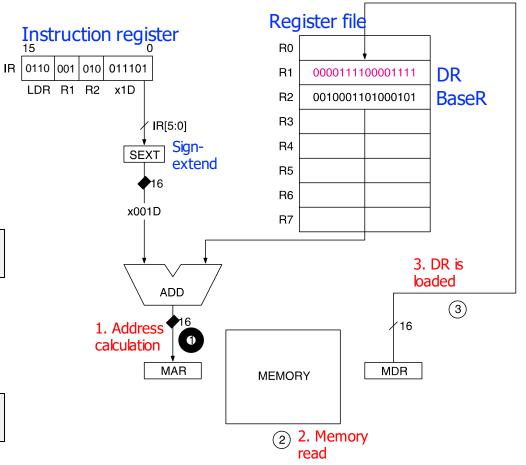
LDR R1, R2, 0x1D

Field Values

OP	DR	BaseR	offset6
6	1	2	0x1D

Machine Code





Again, the address of the operand can be anywhere in the memory

Base+Offset Addressing Mode in MIPS

 In MIPS, lw and sw use base+offset mode (or base addressing mode)

High-level code

$$A[2] = a;$$

MIPS assembly

Memory[
$$\$$$
s0 + 8] \leftarrow $\$$ s3

Field Values

op	rs	rt	imm
43	16	19	8

imm is the 16-bit offset, which is sign-extended to 32 bits

An Example Program in MIPS and LC-3

High-level code

$$a = A[0];$$
 $c = a + b - 5;$
 $B[0] = c;$

MIPS registers

$$A = $s0$$
 $b = $s2$
 $B = $s1$

LC-3 registers

$$A = R0$$

$$b = R2$$

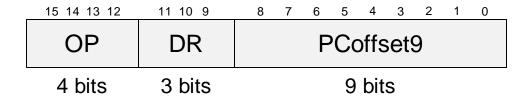
$$B = R1$$

MIPS assembly

LC-3 assembly

Immediate Addressing Mode

LEA (Load Effective Address)



- □ OP = 1110
- DR = destination register
- □ LEA: DR \leftarrow PC[†] + sign-extend(PCoffset9)

What is the difference from PC-Relative addressing mode?

Answer: Instructions with PC-Relative mode access memory, but LEA does not → Hence the name *Load Effective Address*

LEA in LC-3

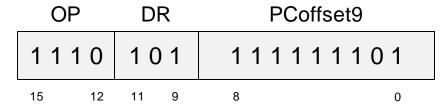
LEA assembly and machine code

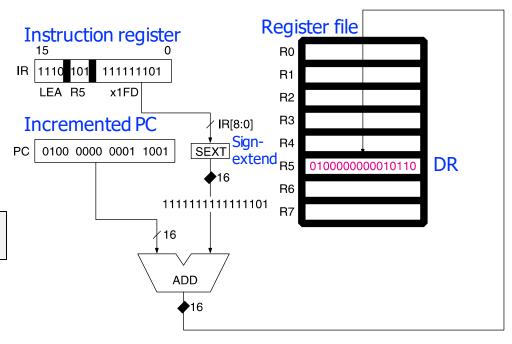
LC-3 assembly

Field Values

OP	DR	PCoffset9
E	5	0x1FD

Machine Code





Immediate Addressing Mode in MIPS

- In MIPS, lui (load upper immediate) loads a 16-bit immediate into the upper half of a register and sets the lower half to 0
- It is used to assign 32-bit constants to a register

High-level code

```
a = 0x6d5e4f3c;
```

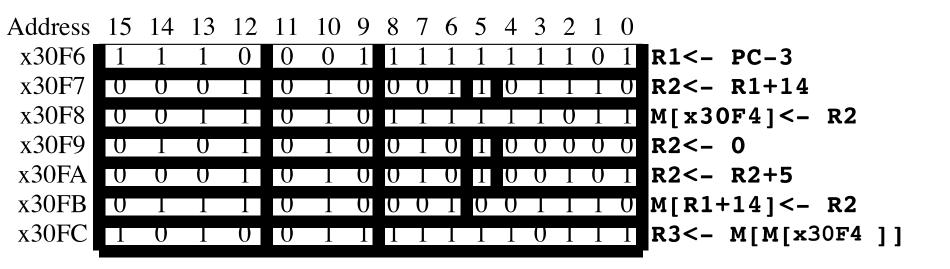
MIPS assembly

```
# $s0 = a
lui $s0, 0x6d5e
ori $s0, 0x4f3c
```

Addressing Example in LC-3

What is the final value of R3?

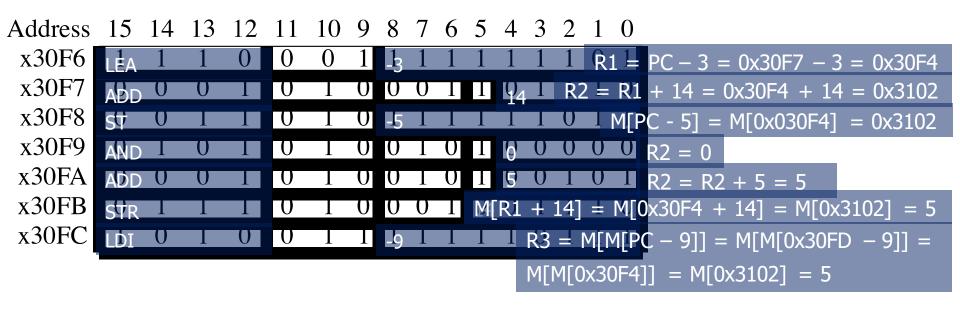
P&P, Chapter 5.3.5



Addressing Example in LC-3

What is the final value of R3?

P&P, Chapter 5.3.5



The final value of R3 is 5

Control Flow Instructions

Control Flow Instructions

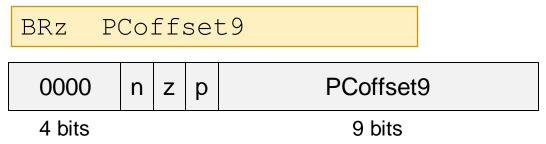
- Allow a program to execute out of sequence
- Conditional branches and unconditional jumps
 - Conditional branches are used to make decisions
 - E.g., if-else statement
 - □ In LC-3, three condition codes are used
 - Jumps are used to implement
 - Loops
 - Function calls
 - □ JMP in LC-3 and j in MIPS

Condition Codes in LC-3

- Each time one GPR (R0-R7) is written, three single-bit registers are updated
- Each of these condition codes are either set (set to 1) or cleared (set to 0)
 - If the written value is negative
 - N is set, Z and P are cleared
 - If the written value is zero
 - Z is set, N and P are cleared
 - If the written value is positive
 - P is set, N and Z are cleared
- x86 and SPARC are examples of ISAs that use condition codes

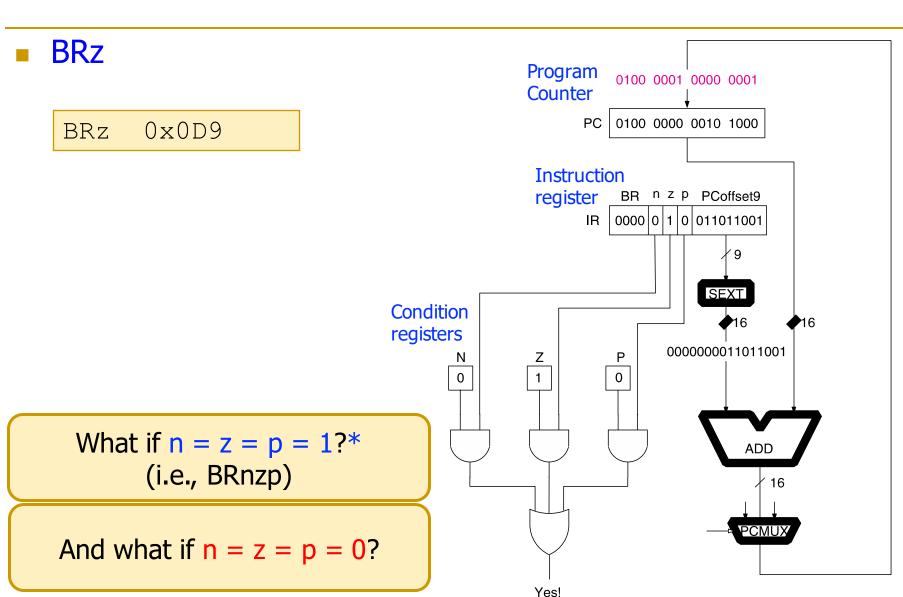
Conditional Branches in LC-3

BRz (Branch if Zero)



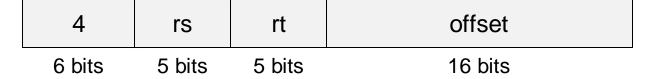
- \neg n, z, p = which condition code is tested (N, Z, and/or P)
 - n, z, p: instruction bits to identify the condition codes to be tested
 - N, Z, P: values of the corresponding condition codes
- PCoffset9 = immediate or constant value
- □ if ((n AND N) OR (p AND P) OR (z AND Z))
 - then PC ← PC[†] + sign-extend(PCoffset9)
- Variations: BRn, BRz, BRp, BRzp, BRnp, BRnz, BRnzp

Conditional Branches in LC-3



Conditional Branches in MIPS

beq (Branch if Equal)



- \Box 4 = opcode
- □ rs, rt = source registers
- offset = immediate or constant value
- if rs == rt
 then PC ← PC[†] + sign-extend(offset) * 4
- Variations: beq, bne, blez, bgtz

Branch If Equal in MIPS and LC-3

MIPS assembly

beq \$s0, \$s1, offset

LC-3 assembly

```
NOT R2, R1
ADD R3, R2, #1
ADD R4, R3, R0

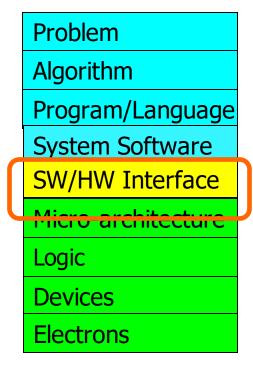
BRz offset

Subtract (R0-R1)
```

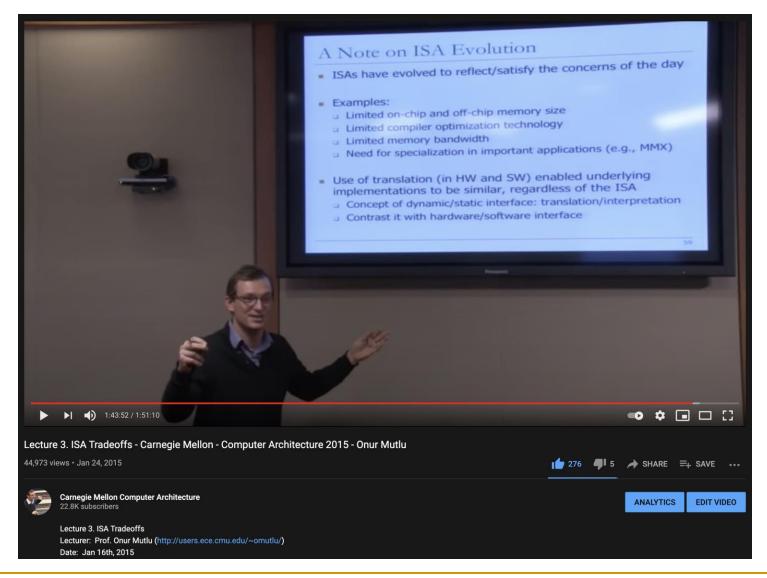
- This is an example of tradeoff in the instruction set
 - □ The same functionality requires more instructions in LC-3
 - But, the control logic requires more complexity in MIPS

What We Learned

- Basic elements of a computer & the von Neumann model
 - □ LC-3: An example von Neumann machine
- Instruction Set Architectures: LC-3 and MIPS
 - Operate instructions
 - Data movement instructions
 - Control instructions
- Instruction formats
- Addressing modes



There Is A Lot More to Cover on ISAs



Many Different ISAs Over Decades

- **x86**
- PDP-x: Programmed Data Processor (PDP-11)
- VAX
- IBM 360
- CDC 6600
- SIMD ISAs: CRAY-1, Connection Machine
- VLIW ISAs: Multiflow, Cydrome, IA-64 (EPIC)
- PowerPC, POWER
- RISC ISAs: Alpha, MIPS, SPARC, ARM, RISC-V, ...
- What are the fundamental differences?
 - E.g., how instructions are specified and what they do
 - E.g., how complex are the instructions

Complex vs. Simple Instructions

- Complex instruction: An instruction does a lot of work, e.g. many operations
 - Insert in a doubly linked list
 - Compute FFT
 - String copy
 - **...**
- Simple instruction: An instruction does little work -- it is a primitive using which complex operations can be built
 - Add
 - XOR
 - Multiply
 - **...**

Complex vs. Simple Instructions

Advantages of Complex instructions

- + Denser encoding → smaller code size → better memory utilization, saves off-chip bandwidth, better cache hit rate (better packing of instructions)
- + Simpler compiler: no need to optimize small instructions as much

Disadvantages of Complex Instructions

- Larger chunks of work → compiler has less opportunity to optimize (limited in fine-grained optimizations it can do)
- More complex hardware → translation from a high level to control signals and optimization needs to be done by hardware

ISA-level Tradeoffs: Number of Registers

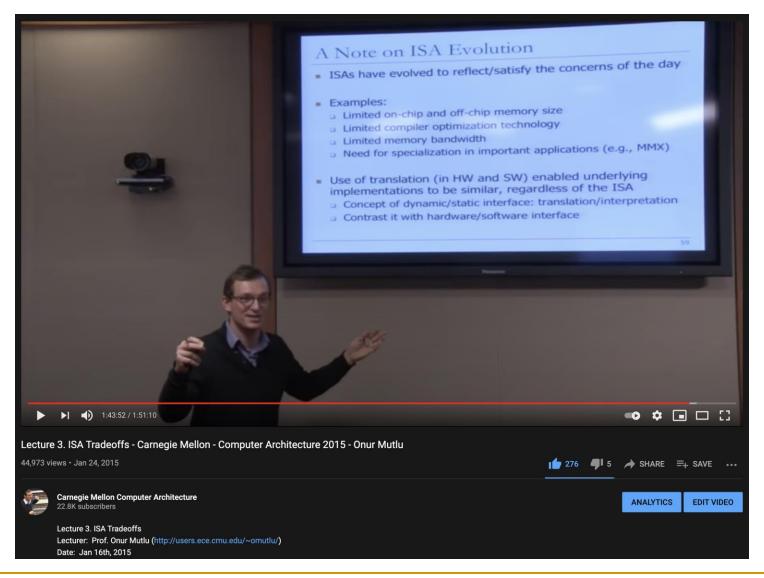
Affects:

- Number of bits used for encoding register address
- Number of values kept in fast storage (register file)
- □ (uarch) Size, access time, power consumption of register file

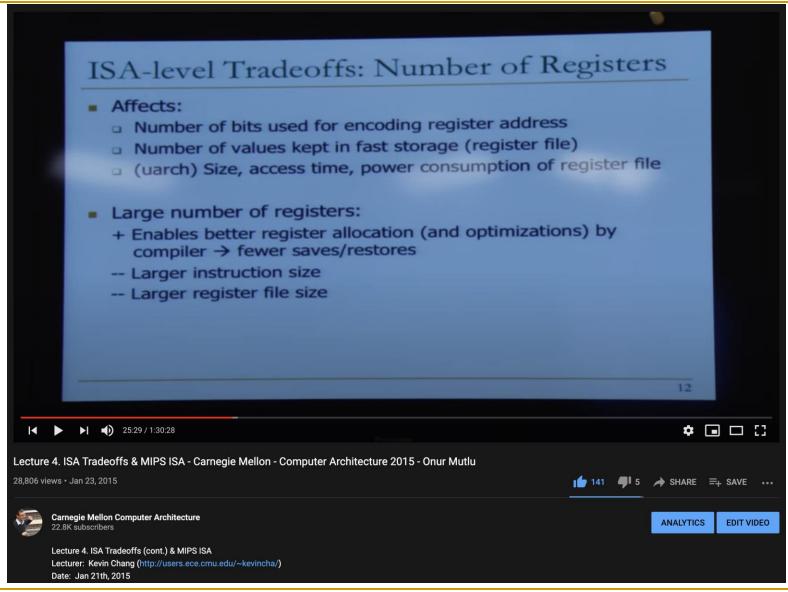
Large number of registers:

- + Enables better register allocation (and optimizations) by compiler → fewer saves/restores
- -- Larger instruction size
- -- Larger register file size

There Is A Lot More to Cover on ISAs



There Is A Lot More to Cover on ISAs



Detailed Lectures on ISAs & ISA Tradeoffs

- Computer Architecture, Spring 2015, Lecture 3
 - ISA Tradeoffs (CMU, Spring 2015)
 - https://www.youtube.com/watch?v=QKdiZSfwgg&list=PL5PHm2jkkXmi5CxxI7b3JCL1TWybTDtKq&index=3
- Computer Architecture, Spring 2015, Lecture 4
 - ISA Tradeoffs & MIPS ISA (CMU, Spring 2015)
 - https://www.youtube.com/watch?v=RBgeCCW5Hjs&list=PL5PHm2jkkXmi5CxxI7b3J CL1TWybTDtKq&index=4
- Computer Architecture, Spring 2015, Lecture 2
 - Fundamental Concepts and ISA (CMU, Spring 2015)
 - https://www.youtube.com/watch?v=NpC39uS4K4o&list=PL5PHm2jkkXmi5CxxI7b3J CL1TWybTDtKq&index=2

Digital Design & Computer Arch.

Lecture 10a: Instruction Set Architectures II

Prof. Onur Mutlu

ETH Zürich
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