

P&S Genomics

Lecture 13a: RawHash

Can Firtina

ETH Zürich

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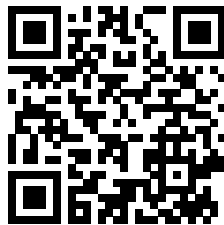
1 June 2023



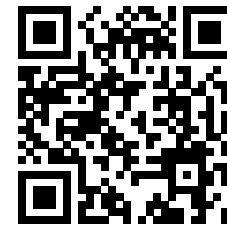
RawHash

Enabling Fast and Accurate Real-Time Analysis of
Raw Nanopore Signals for Large Genomes

Can Firtina, Nika Mansouri Ghiasi, Joel Lindegger, Gagandeep Singh,
Meryem Banu Cavlak, Haiyu Mao, Onur Mutlu



[Preprint](#)



[Source Code](#)

Executive Summary

Problem Performing real-time genome analysis is inaccurate and inefficient for large genomes, causing serious barriers in fully exploiting the opportunities in real-time genome analysis

Goal Enable efficient and accurate analysis for large genomes while the raw sequencing data is generated in real-time

RawHash

- Encodes the raw sequencing data into hash values to accurately and efficiently **identify similarities by matching their hash values**
- Makes **real-time decisions** that can stop sequencing a DNA molecule without fully sequencing it
- Proposes **Sequence Until** that can accurately and dynamically **stop the entire sequencing** of all DNA molecules at once

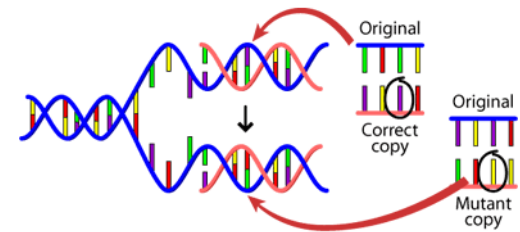
Key Results

- Up to **2x more accurate** mapping results
- **25.8x and 3.4x better average throughput** compared to UNCALLED and Sigmap, respectively
- The Sequence Until techniques enables **reducing the sequencing time and cost by 15x**

Genome Analysis

Genome Sequencing: Enables us to determine the order of the DNA sequence in an organism's genome

- Plays a **pivotal role** in:
 - Precision medicine
 - Outbreak tracing
 - Understanding of evolution

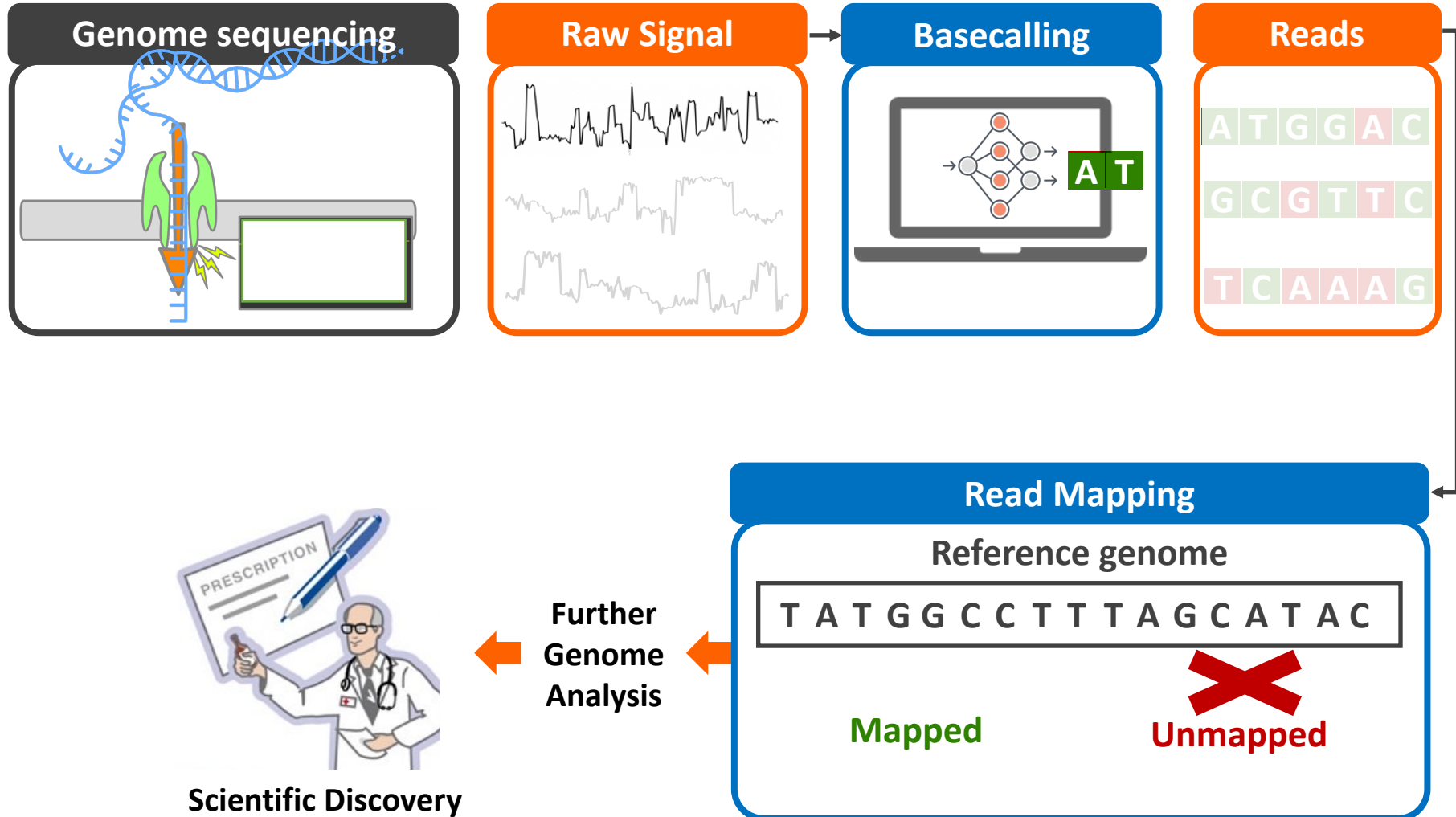


Nanopore Sequencing: a **widely used** sequencing technology

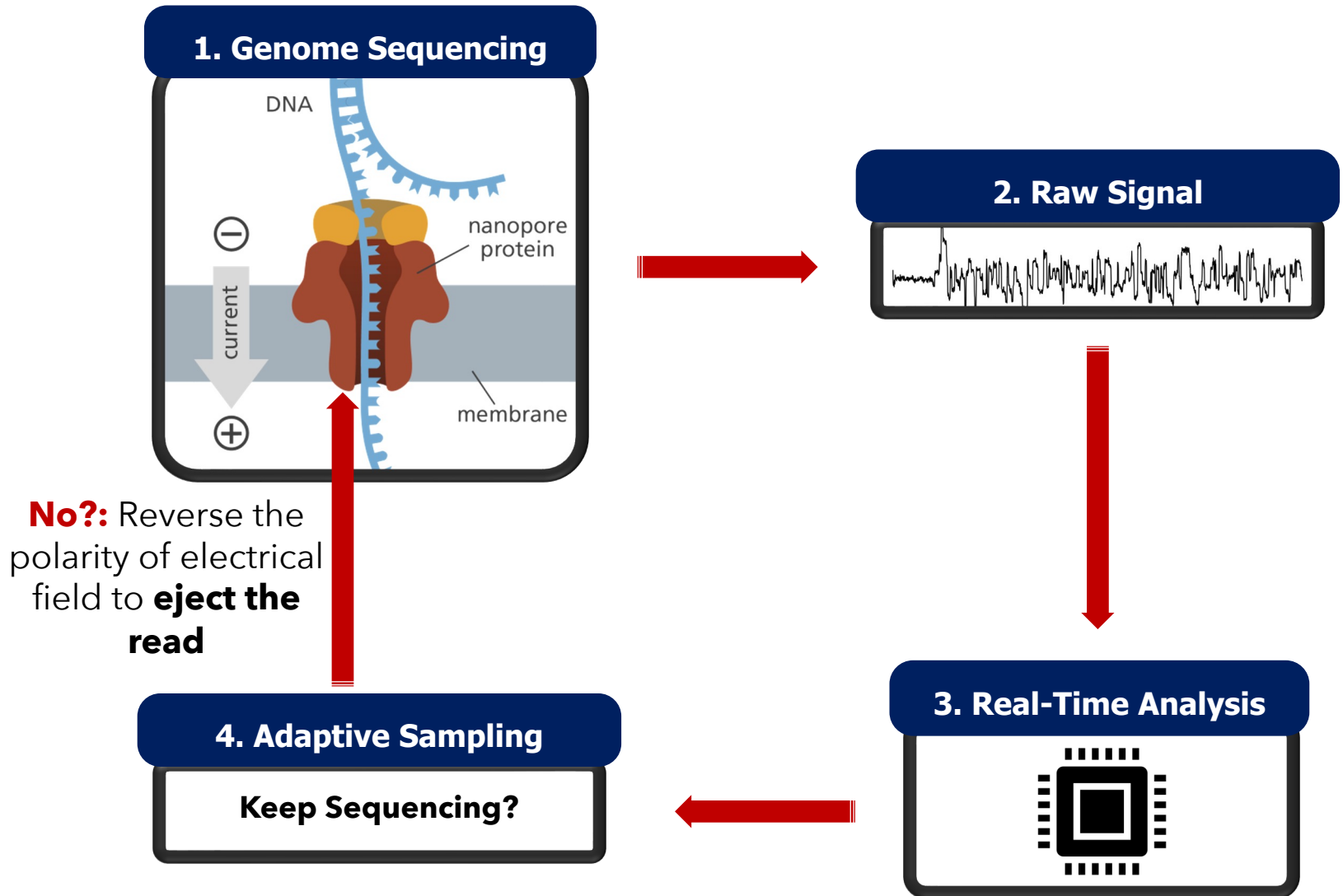
- Can sequence **large fragments of DNA** (i.e., 10Kbp - 2Mbp)
- Has **high throughput**
- **Low cost**
- Provides **unique features**



Traditional Genome Analysis Pipeline



Real-Time Genome Analysis



Objectives in Real-Time Genome Analysis



Fast analysis that can match the throughput of sequencer



Fast decision to reduce the sequencing time and cost with effective use of adaptive sampling



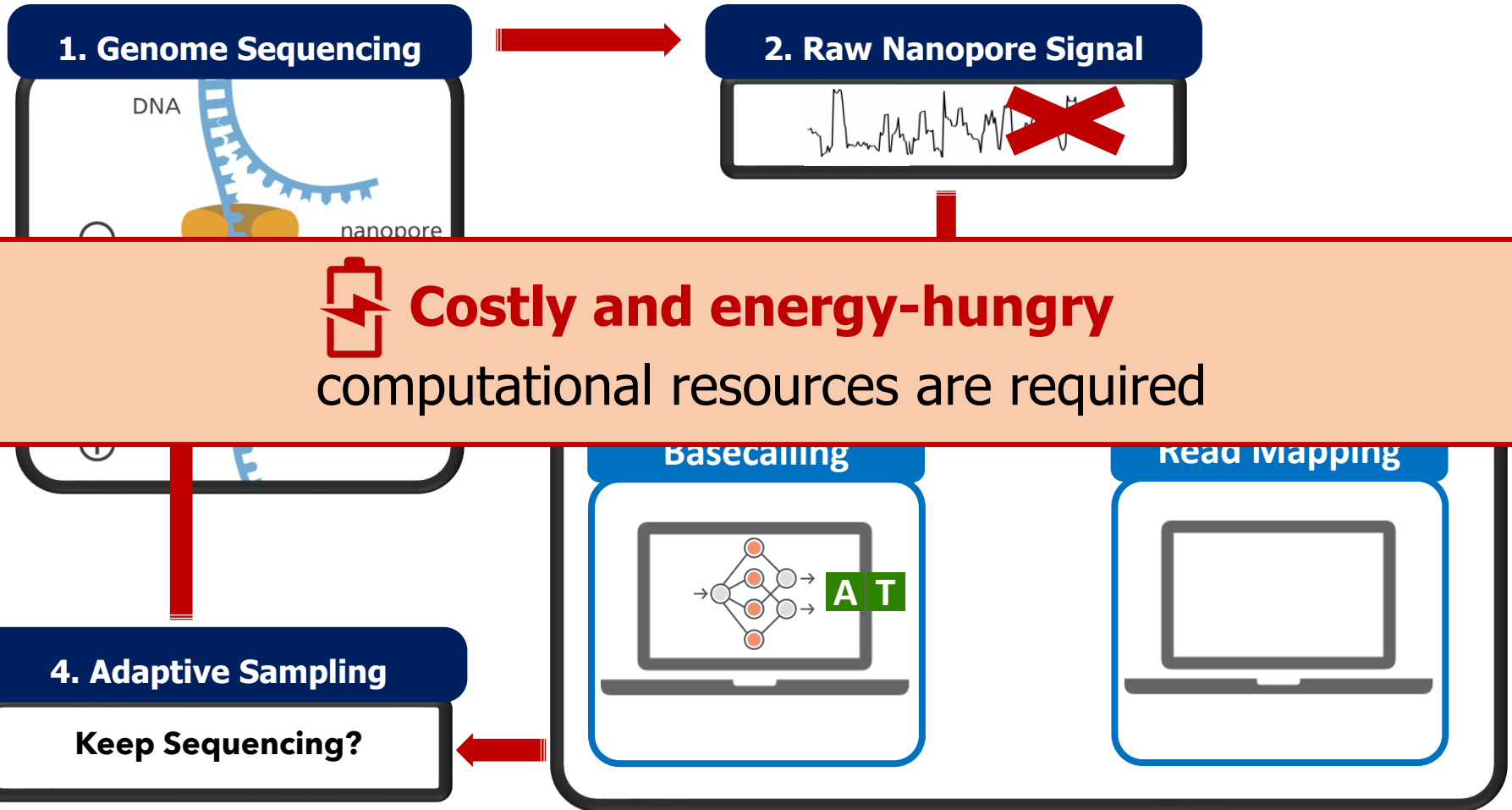
Accurate analysis from noisy raw signal data



Low-power to enable portable sequencing and better scalability

Solutions for Real-Time Analysis

1. Using deep neural networks (DNNs) to basecall and map reads



Solutions for Real-Time Analysis

2. Mapping signals without basecalling



Low-throughput

or



Inaccurate analysis



Outline

Background


Goal and Key Ideas

RawHash


Evaluation

Conclusions

Goal




Fast analysis that can scale to large genomes



Fast decisions for adaptive sampling to reduce sequencing time and cost



Accurate analysis for large genomes



Low-power analysis that can be used with portable devices



RawHash

The first mechanism that can **efficiently and accurately map** raw signals to large reference genomes **using an efficient hash-based search**

Proposes **Sequence Until**, a novel mechanism that can **dynamically decide** if further sequencing of reads is unnecessary to **stop the entire sequencing**

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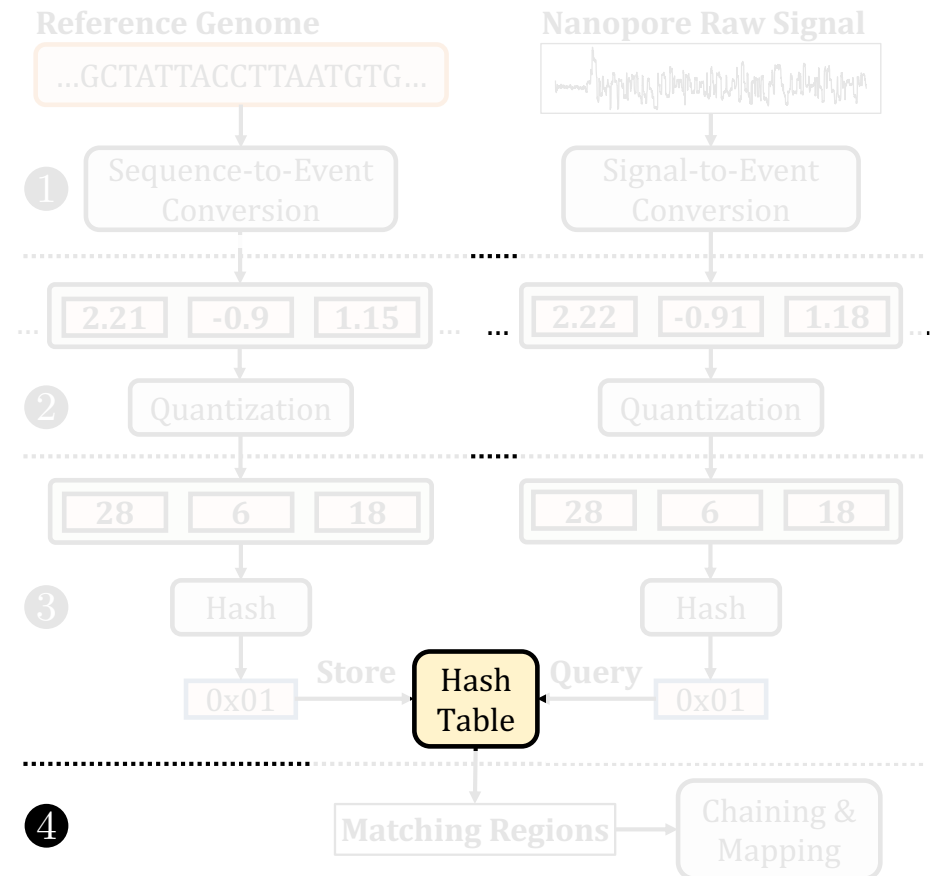
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RawHash Overview

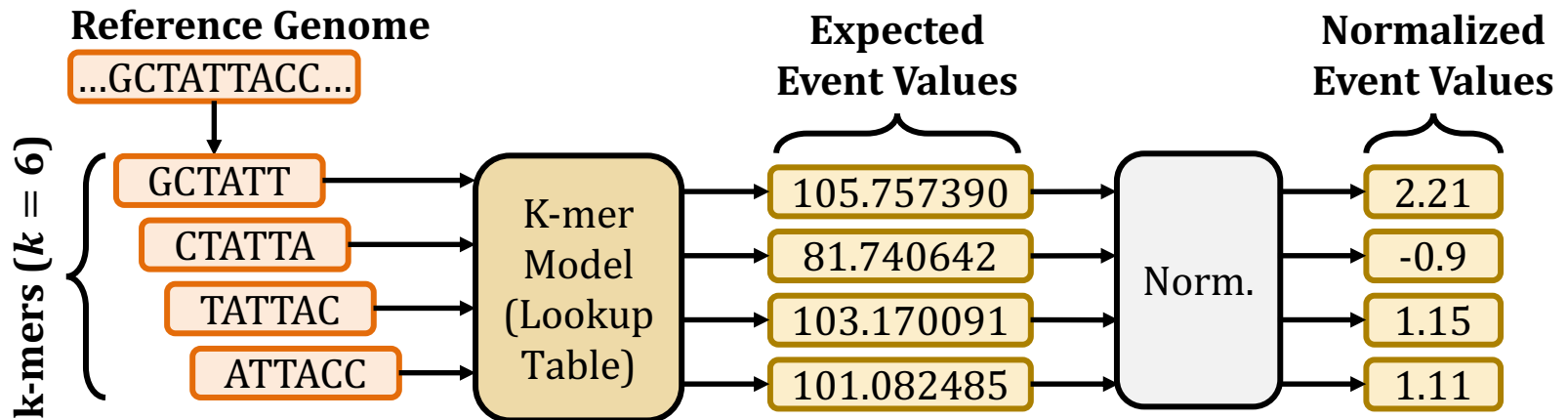
Two steps: Indexing (offline) and mapping (real-time)

- 1. Indexing:** Generate hash values from the expected signals of a reference genome
- 2. Mapping:** Generate hash values from raw nanopore signals and match the hash values with the reference hash values



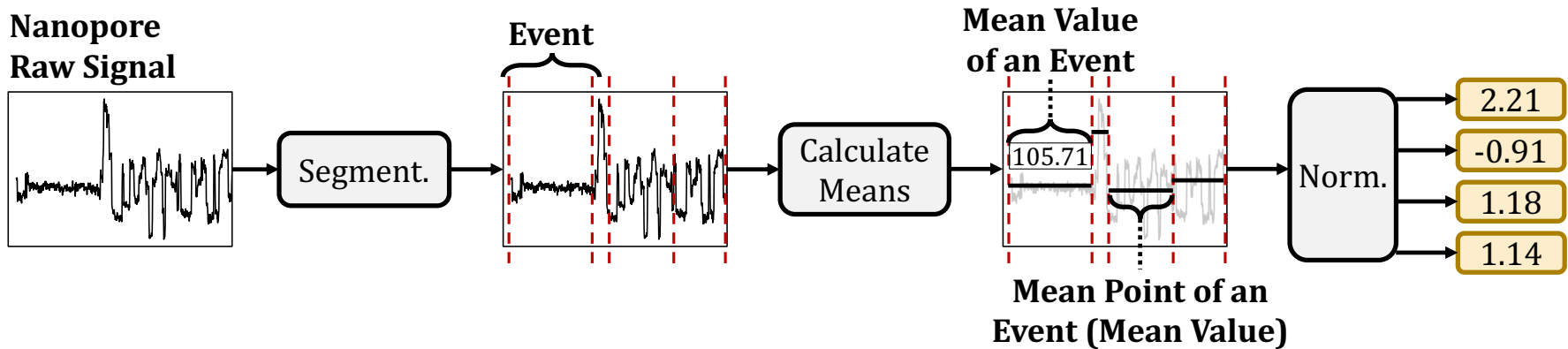
Sequence-to-Signal Conversion

- **Goal:** Enable analysis between reference and read signals without basecalling
 - Identify the corresponding signal value of **all overlapping fixed-length subsequences (k-mers)**



Read Signal Processing

- **Goal:** Identify regions of signals corresponding to k-mers in a read
 - Perform a statistical test to identify the **abrupt changes** in the signal **corresponding to a particular k-mer** of a read



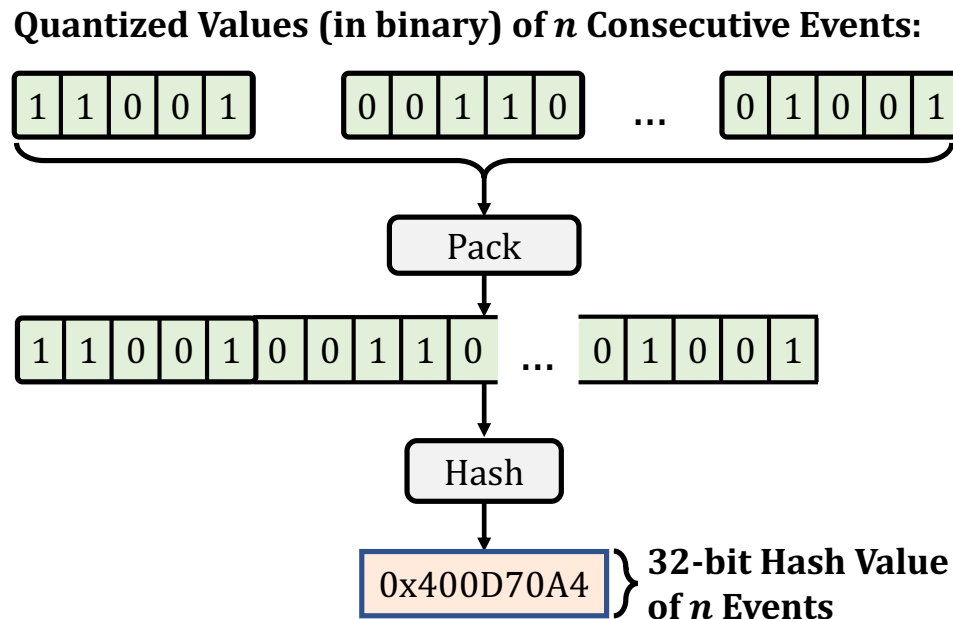
- Observation: Nanopore sequencers **do not** generate **exactly the same signal** when sequencing the **same DNA content**
 - Those signals are still **slightly similar** to each other
 - How can we leverage this? Distance calculations?

Quantizing the Event Values

- **Goal:** Include the similar values to same buckets (quantized values)
 1. Use the binary representations of signal values (floating-point)
 2. Take the most significant Q bits (to quantize)
 3. Ignore the p bits in the middle (do not add much value)

Hashing for Efficient Search

- **Goal:** Enable finding efficient similarity detection between signals
 1. Pack the quantized values of consecutive k-mers
 2. Hash the packed value to generate a hash value
 3. Use efficient data structures (e.g., hash tables) to identify regions with the same hash



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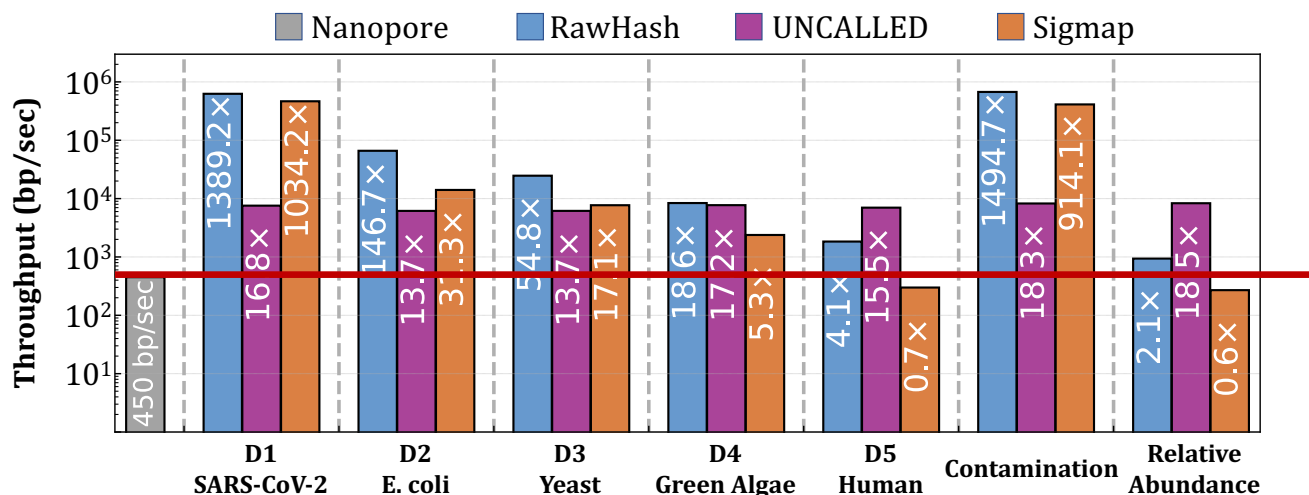
Conclusions

Evaluation Methodology

- Datasets from very small (viral) to large genomes (human and metagenomics)
- Compared with UNCALLED and Sigmap
 - RawHash, UNCALLED, and Sigmap do not require powerful computational resources (e.g., GPUs) to achieve efficient and portable genome analysis
- Use cases
 1. Read mapping
 2. Relative abundance estimation
 3. Contamination analysis
- Benefits of Sequence Until

Performance

- Throughput (bases per second)
 - Throughput of a nanopore sequencer: 450 bp/sec

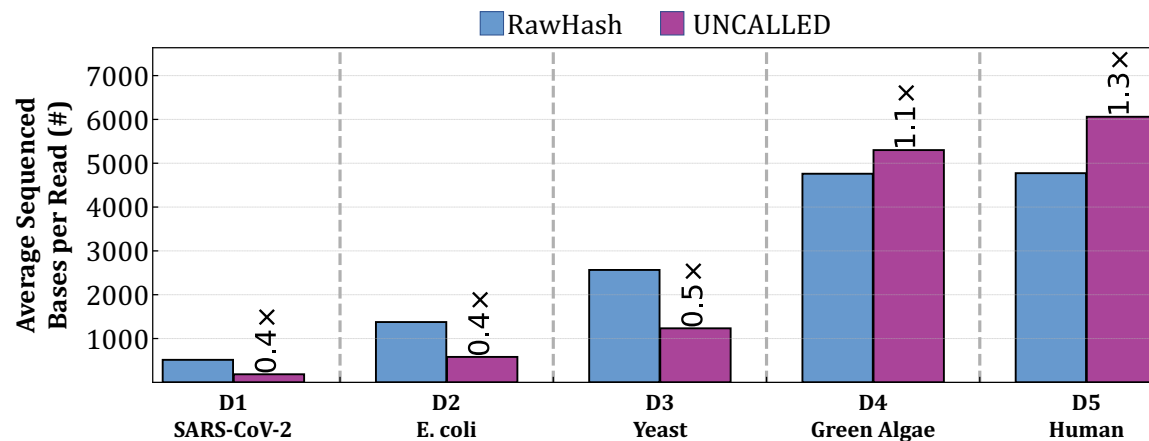


Fast Analysis: Both RawHash and UNCALLED can match the throughput of nanopore

Sigmap falls behind the throughput of nanopores for larger genomes

Sequencing Time and Cost

- Number of bases that needs to be sequenced before making a decision to eject the read
 - Lower is better (cheaper and faster sequencing)



Fast Decision: RawHash reduces the sequencing time and cost for large genomes than UNCALLED

Accuracy

- Accuracy of genome analysis in three use cases

Dataset		UNCALLED	Sigmap	RawHash
Read Mapping				
D1	Precision	0.9547	0.9929	0.9868
SARS-CoV-2	Recall	0.9910	0.5540	0.8735
	F_1	0.9725	0.7112	0.9267
D2 <i>E. coli</i>	Precision	0.9816	0.9842	0.9573
	Recall	0.9647	0.9504	0.9009
	F_1	0.9731	0.9670	0.9282
D3 <i>Yeast</i>	Precision	0.9459	0.9856	0.9862
	Recall	0.9366	0.9123	0.8412
	F_1	0.9412	0.9475	0.9079
D4 <i>Green Algae</i>	Precision	0.8836	0.9741	0.9691
	Recall	0.7778	0.8987	0.7015
	F_1	0.8273	0.9349	0.8139
D5 <i>Human HG001</i>	Precision	0.4867	0.4287	0.8959
	Recall	0.2379	0.2641	0.4054
	F_1	0.3196	0.3268	0.5582

Dataset		UNCALLED	Sigmap	RawHash
Relative Abundance Estimation				
D1-D5	Precision	0.7683	0.7928	0.9484
	Recall	0.1273	0.2739	0.3076
	F_1	0.2184	0.4072	0.4645
Contamination Analysis				
D1, D5	Precision	0.9378	0.7856	0.8733
	Recall	0.9910	0.5540	0.8735
	F_1	0.9637	0.6498	0.8734

Accurate Analysis: RawHash provides the best accuracy for large genomes

Relative Abundance Estimations

- Estimating the relative abundance of each genome compared to the baseline as generated by minimap2
 - Distance: Euclidean distance (L2-norm) compared to the ground truth distance

Tool	Estimated Relative Abundance Ratios					Distance
	<i>SARS-CoV-2</i>	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>	
Ground Truth	0.0929	0.4365	0.0698	0.1179	0.2828	N/A
UNCALLED	0.0026	0.5884	0.0615	0.1313	0.2161	0.1895
Sigmap	0.0419	0.4191	0.1038	0.0962	0.3390	0.0877
RawHash	0.1249	0.4701	0.0957	0.0629	0.2464	0.0847

Accurate Analysis: RawHash provides the relative abundance estimations closest to the ground truth

The Sequence Until Mechanism

- **Key Insight:** Do we need to keep sequencing **the entire sample** for all applications in genome analysis?
- **Use case example:** Can we predict the relative abundance estimation by sequencing only a portion of the sample and still provide accurate results?
- **Potential Benefits:** Reduced sequencing time and costs by avoiding full sequencing

Tool	Estimated Relative Abundance Ratios					
	<i>SARS-CoV-2</i>	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>	Distance
Ground Truth	0.0929	0.4365	0.0698	0.1179	0.2828	N/A
UNCALLED (25%)	0.0026	0.5890	0.0613	0.1332	0.2139	0.1910
RawHash (25%)	0.0271	0.4853	0.0920	0.0786	0.3170	0.0995
UNCALLED (10%)	0.0026	0.5906	0.0611	0.1316	0.2141	0.1920
RawHash (10%)	0.0273	0.4869	0.0963	0.0772	0.3124	0.1004
UNCALLED (1%)	0.0026	0.5750	0.0616	0.1506	0.2103	0.1836
RawHash (1%)	0.0259	0.4783	0.0987	0.0882	0.3088	0.0928
UNCALLED (0.1%)	0.0040	0.4565	0.0380	0.1910	0.3105	0.1242
RawHash (0.1%)	0.0212	0.5045	0.1120	0.0810	0.2814	0.1136
UNCALLED (0.01%)	0.0000	0.5551	0.0000	0.0000	0.4449	0.2602
RawHash (0.01%)	0.0906	0.6122	0.0000	0.0000	0.2972	0.2232

Benefits of Sequence Until

- Sequence Until mechanism **dynamically** analyzes the results of a genome analysis use case **to find outliers** in the analysis
- **If no outlier** in the previous estimations
 - Further sequencing is unlikely to change the analysis significantly
 - Stop the **entire sequencing**: Significant reduction in sequencing time and cost

Tool	Estimated Relative Abundance Ratios in 50,000 Random Reads					
	<i>SARS-CoV-2</i>	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>	Distance
RawHash (100%)	0.0270	0.3636	0.3062	0.1951	0.1081	N/A
RawHash + Sequence Until (7%)	0.0283	0.3539	0.3100	0.1946	0.1133	0.0118

Sequence Until dynamically stops the entire sequencing after sequencing **only 7% of the entire sample while high accuracy**

Sequencing only a portion of the sample significantly **reduces sequencing time and cost (~15x reduction)**

Outline

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RawHash Summary

Problem Performing real-time genome analysis is inaccurate and inefficient for large genomes, causing serious barriers in fully exploiting the opportunities in real-time genome analysis

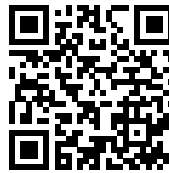
Goal Enable efficient and accurate similarity identification between raw signals

RawHash

- Encodes the similar signal values into the same quantized value to alleviate the noise issues in raw signals
- Generates hash values from quantized values to efficiently identify similarities between signals based on hash value matches
- Proposes Sequence Until that can accurately and dynamically stop the entire sequencing

Key Results

- Up to **2x more accurate** mapping results
- **25.8x and 3.4x better average throughput** compared to UNCALLED and Sigmap, respectively
- The Sequence Until techniques enables **reducing the**



- [Can Firtina](#), [Nika Mansouri Ghiasi](#), [Joel Lindegger](#), [Gagandeep Singh](#), [Meryem Banu Cavlak](#), [Haiyu Mao](#), and [Onur Mutlu](#),

"RawHash: Enabling Fast and Accurate Real-Time Analysis of Raw Nanopore Signals for Large Genomes"

Proceedings of the [31st Annual Conference on Intelligent Systems for Molecular Biology \(ISMB\)](#) and the [22nd European Conference on Computational Biology \(ECCB\)](#), Jul 2023

[\[arXiv preprint\]](#)

[\[Source Code\]](#)

Bioinformatics, 2023, 00, 11–i11
<https://doi.org/10.1093/bioinformatics/btad272>
ISMB/ECCB 2023

OXFORD

RawHash: enabling fast and accurate real-time analysis of raw nanopore signals for large genomes

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[Meryem Banu Cavlak](#) ¹, [Haiyu Mao](#) ¹, [Onur Mutlu](#) ^{1,*}**

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RawHash Source Code



CMU-SAFARI / RawHash Public

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	canfirtina Fixing the outdated link for d3_yeast_r94	fab4f59 2 weeks ago	12 commits
extern	ZSTD submodule for POD5		2 months ago
gitfigures	Initial code		4 months ago
src	Linking pthread and std c++		last month
test	Fixing the outdated link for d3_yeast_r94		2 weeks ago
.gitignore	POD5 support		2 months ago
.gitmodules	ZSTD submodule for POD5		2 months ago
LICENSE	Initial code		4 months ago
Makefile	Initial code		4 months ago
README.md	POD5 support		2 months ago
code_of_conduct.md	Moving to multiple headers than a single one to improve adaptability...		3 months ago

README.md

RawHash

RawHash is a hash-based mechanism to map raw nanopore signals to a reference genome in real-time. To achieve this, it 1) generates an index from the reference genome and 2) efficiently and accurately maps the raw signals to the reference genome such that it can match the throughput of nanopore sequencing even when analyzing large genomes (e.g., human genome).

Below figure shows the overview of the steps that RawHash takes to find matching regions between a reference genome and a raw nanopore signal.

Reference Genome: ...GCTATTAGCTTAATGTG...
Nanopore Raw Signal: ~~~~~~

About

RawHash is the first mechanism that can accurately and efficiently map raw nanopore signals to large reference genomes (e.g., a human reference genome) in real-time without using powerful computational resources (e.g., GPUs). Described by Firtina et al. (preliminary version at <https://www.biorxiv.org/content/10.1101/2023.01.22.525080v1>)

www.biorxiv.org/content/10.1101/2023...

- bioinformatics
- nanopore
- seeding
- segmentation
- event-detection
- genome-analysis
- hash-tables
- contamination
- read-mapping
- relative-abundances
- nanopore-sequencing
- nanopore-analysis-pipeline
- nanopore-reads
- nanopore-data
- nanopore-minion
- raw-signal
- rawhash
- raw-nanopore-signal-analysis

Readme
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Code of conduct
8 stars
5 watching
1 fork
Report repository

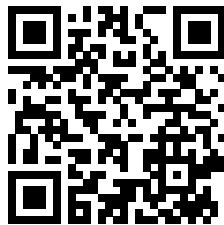
<https://github.com/CMU-SAFARI/RawHash>



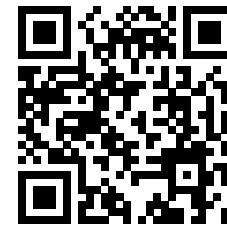
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Lecture 13a: RawHash

Can Firtina

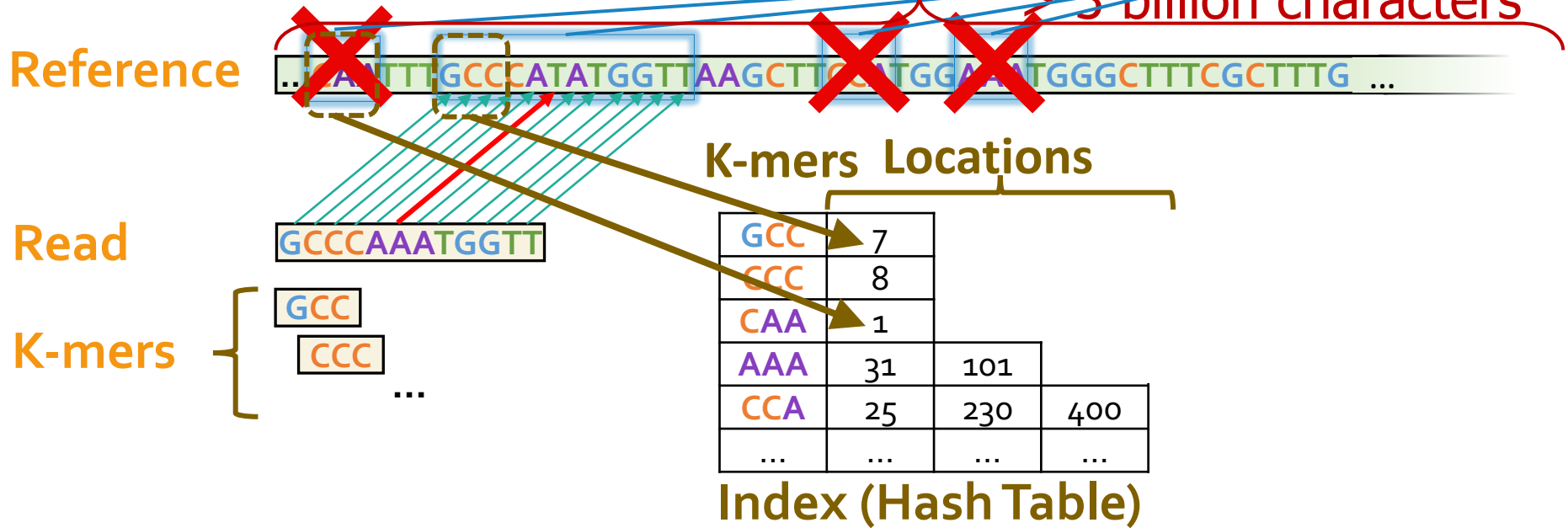
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Spring 2023

1 June 2023

Backup Slides

Practical Similarity Identification Seeds



Seeding	Determine potential matching regions (seeds) in the reference genome
Seed Filtering (e.g., Chaining)	Prune some seeds in the reference genome
Alignment	Determine the exact differences between the read and the reference genome

Sequencing Time and Cost Reductions

Tool	<i>SARS-CoV-2</i>	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>
Average sequenced base length per read					
UNCALLED	184.51	580.52	1,233.20	5,300.15	6,060.23
RawHash	513.95	1,376.14	2,565.09	4,760.59	4,773.58
Average sequenced number of chunks per read					
Sigmap	1.01	2.11	4.14	5.76	10.40
RawHash	1.24	3.20	5.83	10.72	10.70

Profiling the RawHash Steps

Tool	Fraction of entire runtime (%)				
	<i>SARS-CoV-2</i>	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>
File I/O	0.00	0.00	0.00	0.00	0.00
Signal-to-Event	21.75	1.86	1.01	0.53	0.02
Sketching	0.74	0.06	0.04	0.03	0.00
Seeding	3.86	4.14	3.52	6.70	5.39
Chaining	73.50	93.92	95.42	92.43	94.46
Seeding + Chaining	77.36	98.06	98.94	99.14	99.86

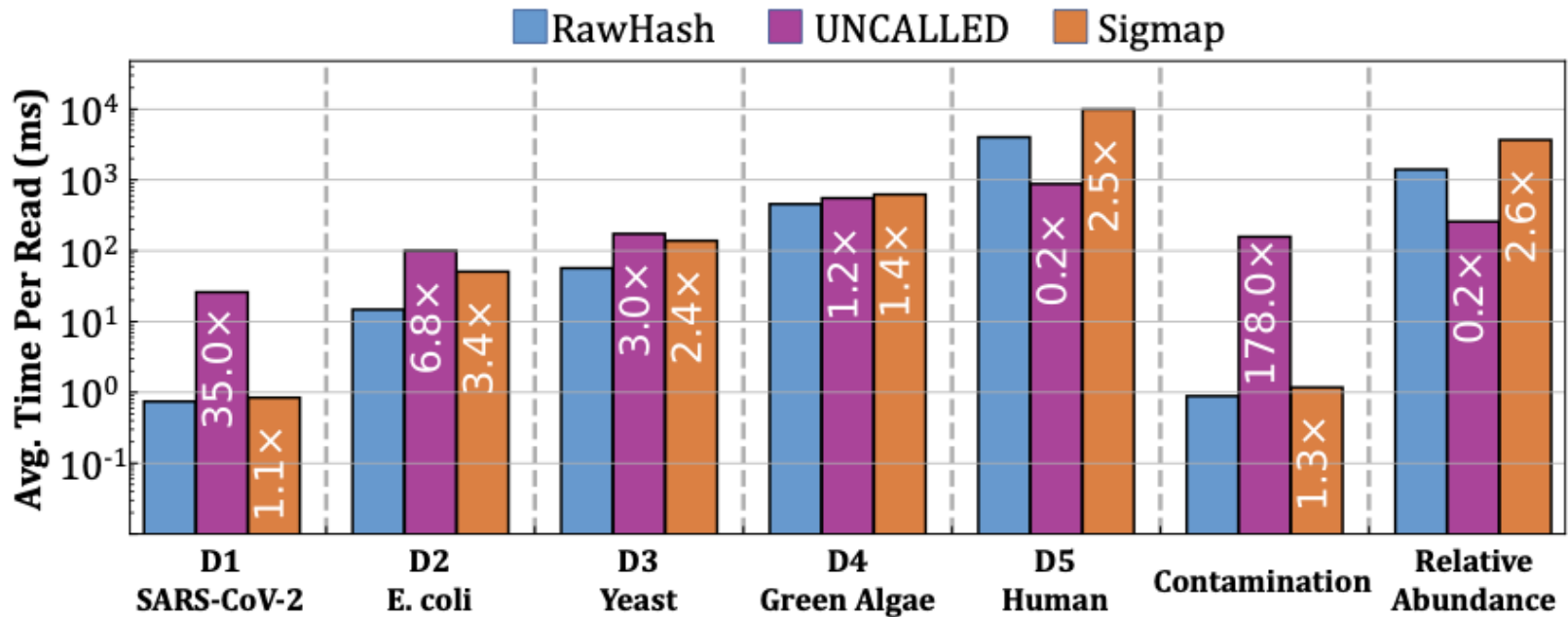
Required Computation Resources in Indexing

Tool	<i>Contamination</i>	<i>SARS-CoV-2</i>	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>	<i>Relative Abundance</i>
CPU Time (sec)							
UNCALLED	8.72	9.00	11.08	18.62	285.88	4,148.10	4,382.38
Sigmap	0.02	0.04	8.66	24.57	449.29	36,765.24	40,926.76
RawHash	0.18	0.13	2.62	4.48	34.18	1,184.42	788.88
Real time (sec)							
UNCALLED	1.01	1.04	2.67	7.79	280.27	4,190.00	4,471.82
Sigmap	0.13	0.25	9.31	25.86	458.46	37,136.61	41,340.16
RawHash	0.14	0.10	1.70	2.06	15.82	278.69	154.68
Peak memory (GB)							
UNCALLED	0.07	0.07	0.13	0.31	11.96	48.44	47.81
Sigmap	0.01	0.01	0.40	1.04	8.63	227.77	238.32
RawHash	0.01	0.01	0.35	0.76	5.33	83.09	152.80

Required Computation Resources in Mapping

Tool	Contamination	SARS-CoV-2	<i>E. coli</i>	<i>Yeast</i>	<i>Green Algae</i>	<i>Human</i>	Relative Abundance
CPU Time (sec)							
UNCALLED	265,902.26	36,667.26	35,821.14	8,933.52	16,769.09	262,597.83	586,561.54
Sigmap	4,573.18	1,997.84	23,894.70	11,168.96	31,544.55	4,837,058.90	11,027,652.91
RawHash	3,721.62	1,832.56	8,212.17	4,906.70	25,215.23	2,022,521.48	4,738,961.77
Real time (sec)							
UNCALLED	20,628.57	2,794.76	1,544.68	285.42	2,138.91	8,794.30	19,409.71
Sigmap	6,725.26	3,222.32	2,067.02	1,167.08	2,398.83	158,904.69	361,443.88
RawHash	3,917.49	1,949.53	957.13	215.68	1,804.96	65,411.43	152,280.26
Peak memory (GB)							
UNCALLED	0.65	0.19	0.52	0.37	0.81	9.46	9.10
Sigmap	111.69	28.26	111.11	14.65	29.18	311.89	489.89
RawHash	4.13	4.20	4.16	4.37	11.75	52.21	55.31

Average Mapping Time per Read



Backup Slide